

**Document:** Readopted Rules

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**TITLE 710 SECURITIES DIVISION**

LSA Document #01-107

Under IC 4-22-2.5-3, the Securities Division intends to readopt rules in anticipation of IC 4-22-2.5, providing that all rules of the Indiana administrative agencies in force on December 31, 1995, expire on January 1, 2002.

**OVERVIEW:** Rules to be readopted are as follows:

- 710 IAC 1-8 General Provisions
- 710 IAC 1-9 Securities Registration
- 710 IAC 1-10 Disclosure Requirements
- 710 IAC 1-11 Reporting Requirements
- 710 IAC 1-12 Merit Standards
- 710 IAC 1-13 Exemptions
- 710 IAC 1-14 Broker-Dealers
- 710 IAC 1-15 Agents
- 710 IAC 1-16 Investment Advisers
- 710 IAC 1-17 Standards of Practice
- 710 IAC 1-18 Financial Statements
- 710 IAC 1-19 Division Proceedings
- 710 IAC 1-20 Records and Investigations
- 710 IAC 1-21 Investigation; Rules of Practice

Questions or comments on the readoption may be directed by mail to Indiana Securities Division, 302 West Washington Street, Room E111, Indianapolis, Indiana 46204 or by electronic mail to [bskolnik@sos.state.in.us](mailto:bskolnik@sos.state.in.us). Statutory authority: IC 23-2-1-15.